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**Addis Ababa University**  
**College of Health Sciences**  
**Department of Medical Microbiology, Immunology, &**  
**Parasitology**

**GENOTYPE DISTRIBUTION OF HUMAN PAPILLOMAVIRUSES & HPV E6/E7  
mRNA TEST FOR THE DETECTION OF HIGH-GRADE CERVICAL  
INTRAEPITHELIAL NEOPLASIA (CIN2+) AMONG GYNECOLOGY  
COMPLAINTS IN NORTHWEST ETHIOPIA**

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**Department of Medical Microbiology, Immunology, & Parasitology**

**Title:** Genotype Distribution of Human Papillomaviruses & HPV E6/E7 mRNA Test for the Detection of High-Grade Cervical Intraepithelial Neoplasia (CIN2+) Among Gynecology Complaints in Northwest Ethiopia

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## **Original Literary Work Declaration**

I declared that the dissertation represents my own original work and not submitted to any other institution elsewhere to award any degree, diploma, or certificate. I duly acknowledged the various sources of information, views, and opinions that I consulted and used in the thesis.

Awoke Derby -----

## **Abstract**

**Background:** In developing nations, cervical cancer (CC) is the main cause of cancer-related fatalities in women due to the absence of well-established vaccination and screening programs. Exploring the best triage test for women with cervical abnormalities is a timely area of research to advance cervical screening and management. Further, the distinct proportional impact of each HR-HPV on the distribution of cervical lesions varies geographically. There is a shortage of data regarding the clinical value of high-risk human papillomaviruses (HR-HPV) E6/E7 mRNA test and their molecular epidemiology in cervical samples from Ethiopia, particularly in the current study area. Moreover, despite the fact that HR-HPV infection is an essential biological cause of CC, other socio-demographic factors are not well studied in the nation. Therefore, this study aimed to fill these data gaps.

**Objectives:** The aim of the study was to determine the HPV genotype involved in cervical lesions, to evaluate the clinical use of HR-HPV E6/E7 mRNA for the early detection of CIN2+, and to explore factors associated with it among gynecology complaints in northwest Ethiopia.

**Methods:** Between March 2019 and October 2021, a cross-sectional study was carried out at Felege Hiwot Compressive Specialized Hospital (FHCSH). Among women who visited the hospital for gynecological examination, those who were eligible for visual inspection (VIA)-based screening were included. Cervical punch samples were obtained by a gynecologist for histological analysis. Cervical swabs collected and analyzed for HR-HPV DNA and HPV E6/E7 mRNA using the Abbott Alinity m system and real-time PCR, respectively at the Institute of Virology, Leipzig University, Germany. Demographic and gynecologic-related history were collected using a structured questionnaire. The distribution and frequency of HR-HPVs described using descriptive statistics. Histology was used as the reference test to determine how well the E6/E7 mRNA detected CIN2+.

**Results:** Of the 355 study participants (aged 30 to 80 years), more than half, 211 (59.4%), were unaware of CC, and their previous cervical screening practice was approximately 25%. Cervical biopsies from 41.8% (140/335; 95% CI: 36.6-47.1%) participants were diagnosed as cancer. The proportion of HR-HPV was 53% (188/355; 95% CI: 47.8-58.1%), with 13 different genotypes identified. HPV16 was predominant at 50.4% (95% CI: 29.4-39.2%), followed by HPV31 (9.7%), HPV33 (8.5%), HPV39 and HPV68 (5.8% each), and HPV18 at 4.7%. The

E6/E7 mRNA test was positive in 35.8% (127/355; 95%CI: 30.0-40.9) of cases for HPV16, 16 & 45. The proportion of positive HPV DNA test results for these three HR-HPVs was 42% (149/355). The total agreement of DNA and mRNA tests in the detection of these HPVs was at 92.7% (95%CI: 89.5-94.9) with a kappa value of 0.821. HPV16, at 108 (85%), was the most common genotype expressing E6/E7 mRNA. The mRNA assay had sensitivity, specificity, positive and negative predictive values (PPV and NPV) of 65.2% (95%CI: 57.5-72.2%), 90% (95%CI: 84.6-93.4%), 85.8% (95%CI: 78.5-91.0%), & 73.6% (95%CI: 67.2-79.1%), respectively for detecting histologically confirmed CIN2+. Specifically, the sensitivity and specificity of this assay in the detection of CIN2+ were 92.7% & 47%, respectively among HPV16, 18, & 45 DNA-positive cases. Likewise, the analytical sensitivity and specificity of the HPV-DNA test were 84.8% & 74.1%, respectively. CC increased steadily with participant age, with women older than 50 years about four times more likely to develop CIN2+ (AOR: 3.68 95%CI: 1.75-7.72,  $p < 0.001$ ). Similarly, no cervical screening in the past five years (AOR: 2.04; 95%CI: 1.04-4.04;  $p = 0.038$ ), infection with HR-HPVs (AOR: 5.28; 95%CI: 2.66-10.47;  $p < 0.001$ ) and tested positive for E6/E7 mRNA (AOR: 5.78; 95%CI: 2.73-12.24,  $p < 0.001$ ) were statistically associated with CIN2+.

**Conclusions:** CC is still a significant issue for women's health in northwest Ethiopia that requires evidence-based interventions. The E6/E7 mRNA test and the HPV DNA test demonstrated good agreement and showed better diagnostic relevance in detecting CIN2+. Therefore, the test can be considered for colposcopy and biopsy triage. In particular, the mRNA test may be regarded as a potential triage for women who are HPV-positive, mainly in regions with a shortage of pathologists and colposcopy facilities. Vaccination and future HPV-based screening methods in Ethiopia should consider the important HR-HPV genotypes identified in such studies. To better assess the HPVs circulating in northwestern Ethiopia, community-based surveys should be conducted. Likewise, to optimize the E6/E7 mRNA analytical sensitivity and specificity, large-scale studies targeting major HR-HPVs should be considered. Finally, in accordance with the WHO recommendation women who are eligible for cervical screening need to be screened with a high-precision test, including HPV-based tests.

**Keywords:** Cervical cancer, CIN2+, HPV E6/E7 mRNA, HR-HPV DNA, northwest Ethiopia

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## **Glossary of Terms**

The following working definitions were adapted from cited articles (L Bruni et al., 2017; Cuschieri & Wentzensen, 2008; Khieu & Butler, 2022; H. Y. Wang, Lee, et al., 2015).

- **HR-HPV**: the type of HPVs that are associated with cervical & other types of cancer, such as cancers of the anus, vagina, vulva, penis, and oropharynx.
- **HPV E6/E7 mRNA**: these the primary oncogenic transcriptions of HR-HPV oncogenes; that are associated with cell transformation. The E6 and E7 genes are transcribed polycistronically from a single promotor that is located at the 3' of the upstream regulatory region.
- **High-grade cervical lesions (CIN2+)**: Women with histologically verified CIN2, CIN3, and invasive carcinoma are said to have high-grade cervical lesions (CIN2+).



18 account for >70% of all CCs worldwide. (Bouvard et al., 2009; Bruni et al., 2017; Kim, 2017). However, there are very little national statistics on Ethiopia's HPV genotype distribution. . Our present systematic review aimed at assessing the molecular profile of HPV from cervical samples in Ethiopia showed that full HPV genotype data is reported by very few studies in the country (Derbie et al., 2022). This implies that there is a need to have more data from different parts of the country for evidence-based vaccination and HPV-based screening approaches.

If caught early enough, CC is treatable. Early lesions develop slowly before cancer, often over a period of ten years, making premature discovery advantageous. (Leto et al, 2011). Hence, tests that predict the progression of cervical lesion, especially when the lesion is at its early stage are worth to discover.

Cervical precursor lesions, are detectable by a variety of methods (García et al., 2011). Globally CC screening and diagnosing strategies vary across countries. The most frequent method is cytology, and there are other approaches such as HPV-DNA tests & visual inspection of cervix with acetic acid (VIA) (L Bruni et al., 2017), the latter being practiced in resource-limited settings, including Ethiopia, where target women get the 'see and treat' services (Bruni et al., 2017). In the majority of developed countries, cytology and HPV-DNA based testing are widely accessible (Sahasrabuddhe et al, 2011). HPV-based tests are introduced as a supplement to cytology screening (referred to as "co-testing") or as the initial screening test to be followed by a secondary, more specific test, such as cytology or HR-HPV E6/E7 tests (Bruni et al., 2017; Cuschieri & Wentzensen, 2008; Wang et al., 2015).

On the contrary, in nations with poor resources, like Ethiopia, it has been extremely challenging to provide and maintain either cytology or HPV-based assays due to a number of factors; resource limitation and absence of professionals; for instance, in Ethiopia the number of pathologists are <200 [expert opinion]. Moreover, the CC screening program in Ethiopia is not well-established in most parts of the country (Sankaranarayanan et al, 2013; WHO, 2015). The VIA-based screening coverage for targeted women is much less than the African average (3.3%) (Federal Democratic Republic of Ethiopia, 2021) whereas screening coverage in Sub-Saharan Africa ranges from 2-20% in urban areas and 0.4-14% in rural regions (Louie et al, 2009).

Obtaining tools with adequate diagnostic performance for CC screening continues to be difficult in the global campaign against this disease. There has been a long-standing interest in the development and validation of new screening tools due to the shortcomings of existing methods as well as the limitations of cytology, VIA, & HPV-DNA including but not limited to the sensitivity/specificity issues and the ability to highlight the possibility of developing cancer. (Sahasrabudde et al., 2011). Currently, there are discoveries under went to develop CC screening biomarkers, of which, the HPV E6/E7 mRNA tests are promising noninvasive biomarkers for the detection of CIN2+ which enable to detect the HPV infection and to predict the change of progression to cancer (Cattani et al., 2009; Duvlis et al., 2015; Fontecha et al., 2016; Fontecha et al., 2017; Lie & Kristensen, 2008; Mariano et al., 2016; Castro et al., 2013; Varnai et al., 2008; Zhao et al., 2014).

As a result, it is claimed that detection of E6/E7 mRNA is more accurate and better able to predict CC risk than the HPV DNA test(Cattani et al., 2009; Duvlis et al., 2015; Fontecha et al., 2017; Lie & Kristensen, 2008; Mariano et al., 2016; Castro et al., 2013; Ratnam et al., 2010; Varnai et al., 2008; Zhao et al., 2014).

In the developed world, E6/E7 mRNA is well studied to clarify the oncogenic importance of HR-HPVs in CC and other types of malignancies. (Fontecha et al., 2016; Castro et al., 2013; Ren et al, 2018; Varnai et al., 2008; Wang, et al., 2015; Zhao et al., 2014). Studies also showed that E6/E7 mRNA test had greater specificity (Cattani et al., 2009; Duvlis et al., 2015; Fontecha et al., 2017; Lie & Kristensen, 2008; Mariano et al., 2016; Castro et al., 2013; Varnai et al., 2008; Wang, et al., 2015; Zhao et al., 2014) and compared to HPV DNA testing, it correlate better with the severity of the lesion, and may be a possible signal of women who are at risk of getting CC (Fontecha et al., 2016; Kristensen, 2008). Our recent systematic review on testing of E6/E7 mRNA in the identification of CIN2+ showed a very good result on this regard (Derbie et al., 2020a). However, researchers in the field recommended further studies in different settings to accumulate knowledge for additional steps to standardize the mRNA test (Cattani et al., 2009; Duvlis et al., 2015; Fontecha et al., 2016; Fontecha et al., 2017; Kristensen, 2008; Liu et al., 2018; Mariano et al., 2016; Castro et al., 2013; Varnai et al., 2008; Zhao et al., 2014).

HPV-based studies including the clinical role of mRNA in marking of CIN2+ are minimal in the developing world. Additionally, there is a dearth of information on the national genotype

distribution of HPV and the risk factors for CIN2+ in Ethiopia. Therefore, the objective of this study was to assess the analytical value of E6/E7 mRNA for CIN2+ detection, assess factors associated with CIN2+, and characterize the HR-HPVs. These are important entry points for treatment of HPV associated cervical lesions, helps to identify patients at higher risk for developing CC, and can be used for the development of vaccines and HPV-based screening.



tools for screening, it will take work to increase the service's effectiveness, accessibility, and uptake. The different tools available in the market have their pros and cons. Countries like Ethiopia that have no organized screening programs need to identify which of these different tools work best in their setting. So far, there is no one perfect method for CC screening; the early detection of CIN2+, however, can benefit from the integration of many strategies.

The WHO advises screening women between the ages of 30 and 49 using cytology or VIA every three to five years, or HPV DNA testing every five years, along with prompt treatment of precancerous lesions (WHO, 2020b). Cytology-based programs are, however, extremely challenging to implement in low- and middle-income countries, and where they are, the screening coverage is poor. Therefore, new strategies for screening and treatment had to be considered to increase coverage in all countries and ultimately decrease CC incidence and mortality. In the developed world, in addition to using HPV DNA and cytology for screening, countries are also studying the potential role of ThinPrep cytological test (TCT), mRNA based tests (e.g. Aptima and PreTect HPV-Proofer) and colposcopy for early detection of CIN2+, a stage where intervention is started (Zhang et al, 2018). Recent data also showed that Xpert HPV assay fulfills the HPV test criterion requirement to be used in the detection of CIN2+ (Akbari et al., 2018).

Women with abnormal cytology or HPV DNA test need to have a follow-up histopathological evaluation to look for the presence and stage of CINs. Abnormal cervical lesions could be missed or misdiagnosed due to the inherent limitations of VIA and cytology (WHO, 2007). Females at high risk must undergo routine retests to verify the veracity of the negative result due to the low sensitivity of the cytological test (Marth et al., 2017; WHO, 2007), which is expensive. Additionally, only a small percentage of individuals with negative or atypical squamous cells of unknown significance (ASCUS) but with positive HR-HPV DNA will ultimately develop CIN2+ (Apgar et al, 2009). To manage such women, it would be useful to use better biomarkers for triage (Zhang et al., 2018). Further, in developing countries like Ethiopia, where there are very few pathologists the problem becomes much worsen. Taking into account the prospective implementation of the HPV DNA test as a screening tool in Ethiopia, getting triage test for further steps to properly manage women with abnormal cytology is a timely area for research (Burger et al, 2011; Melnikow et al., 2018; Valenca et al., 2016).

Compared to similar testing (like VIA, Pap smear, and HPV DNA) that cannot distinguish between persistent and transitory HPV infections, the detection of E6/E7 oncogene products serve as a better predictor of the risk of developing CC (Burger et al., 2011; Cattani et al., 2009; Duvlis et al., 2015; Fontecha et al., 2017; Lie & Kristensen, 2008; Liu et al., 2018; Mariano et al., 2016; Castro et al., 2013; Ratnam et al., 2010; Varnai et al., 2008; Zhao et al., 2014). The HPV DNA is incorporated during the carcinogenesis process, which disrupts the E2 gene and causes an overexpression of E6 & E7. Therefore, testing these gene transcripts can be used as a potential marker for a greater risk of developing CC (Alaghebandan et al., 2013; Ratnam et al., 2011). mRNA test helps lessen patients' worry and follow-up times while assisting in avoiding invasive procedures such as biopsies and over-referral for transit HPV infections (Duvlis et al., 2015).

Generally, it is not clear what to do with women who tested positive for HR-HPVs in Ethiopia. There are few colposcopy facilities and trained personnel to triage the high number of HPV DNA-positive women. Hence, there is a need to find a cost-effective triage-test for women who tested positive for HR-HPV DNA as it will not be feasible to consider colposcopy and histology for all HPV DNA-positive cases in Ethiopia.

On top of this, to draw up optimal vaccination and HPV-based screening approaches, it is crucial to identify the HPV genotypes circulating in the country, which is otherwise quite limited in Ethiopia (Bruni, 2021) and in the present study area in particular (Derbie et al., 2022). According to our recent review on HPV molecular epidemiology from cervical sample in Ethiopia (Derbie et al., 2022), we found only a few studies (Abate et al., 2013; Bekele et al., 2010; Leyh-Bannurah et al., 2014; Mihret et al., 2014) that provided data on this regard. Specifically, the only data in the present study area is almost none. Additionally, while HPV is not the only factor that leads to CC (Bray et al., 2018), exploring the possible associated epidemiological factors for CIN2+ will also play a great role in public health measures.

### **1.3. Research Questions**

- Which HR-HPVs are linked with abnormal lesions of the cervical in northwest Ethiopia?
- What is the sensitivity/specificity of E6/E7 mRNA in the identification of CIN2+?
- Which epidemiological factors are associated with CIN2+ in the study area?

### **1.4. Hypothesis**

- HO1: The types of HR-HPVs involved in CIN2+ in Northwest Ethiopia will be the same as other study findings in the country.
- HO2: E6/E7 mRNA expression correlates better with the severity of cervical lesions and could be considered as a potential biomarker for the detection of CIN2+.

## **1.5. Objectives**

### **1.5.1. General Objective**

To characterize the genotype epidemiology of HR-HPVs involved in abnormal cervical lesions, to study the analytical performance of HR-HPVs E6/E7 mRNA test for identifying CIN2+, & to explore epidemiological factors associated with CIN2+ among women with gynecology complaints in northwestern Ethiopian.

### **1.5.2. Specific Objectives**

- To describe the cervical histopathological profile of the participants,
- To identify HR-HPVs associated with abnormal cervical lesions,
- To evaluate the analytical performance of the E6/E7 mRNA test in the identification of CIN2
- To investigate epidemiological elements connected to CIN2+ ,



Therefore, in this molecular, histopathological and epidemiological-based study, the finding will serve as input to policy makers and other stakeholders for vaccination programs, HPV-based CC screening and public health measures to decrease the burden of CC in the country. The finding will be also used as a potential source for further HPV-based large-scale studies in Ethiopia.

## Chapter Two: Literature Review

### 2.1. HPV Virology

Human papillomavirus was discovered in 1956 (UK, 2014). In 1984 Harald zur Hausen discovered, cloned, and attributed CC to HPV16 and 18 (UK, 2014). The man was honored with the Nobel Prize in 2008 for his contribution to science. He made the early hypothesis that CC is caused by papillomaviruses. He was successful in identifying the two most prevalent HR-HPV kinds (HPV16 and HPV18) at CC, and subsequent actions improved our understanding of the mechanism behind HPV-associated cancer development and paved the way for the creation of a preventive vaccination. (Prize, 2008; UK, 2014).

HPVs refers to a group of >200 related viruses that belong taxonomically to Papillomaviridae family (Institute, 2022). They are ds-DNA viruses can infect the skin and mucous membranes because of their preference for epithelial cells. They are named because of the warts (*papillomas*) which some HPV types causes (Prevention, 2016). Based on the primary L1 capsid protein nucleotide sequences, HPVs grouped into five distinct genera. These are: *Alpha*, *Beta*-, *Gamma*-, *Mu*-, & *Nupapillomavirus*. The *Alphapapillomavirus* that contains the mucosal HR HPV types are linked to anogenital, and head & neck cancers (Institute, 2022). Each HPV virus in this large group is assigned with a number (HPV 6, 11, 16 etc.), in the order of their discovery, which is called its HPV type. The last HPV type recorded in the International HPV center database is HPV229 (Institute, 2022).

It is not possible to propagate HPV in vitro, and therefore, its identification and characterization is based on molecular analysis (Abreu et al, 2012). They are epitheliotropic, small (~55nm diameter), non-enveloped with an icosahedral capsid (Münger et al., 2004; Pinidis et al., 2016).

The DNA is linked to histone-like proteins and enclosed in 72 capsomeres made of L1 and L2. (McMurray et al, 2001). As depicted below in Figure 1, its genome is divided into 3 area: a ~4-kb Early (E), a ~3-kb Late (L) and a ~1-kb long control region (LCR). Only one of the two DNA strands of HPV (that is approximately 8,000 base pairs) is actively transcribed (Münger et al., 2004). The **E** region contains ORFs (open reading frames) encoding non-structural proteins that designated from E1 to E8. Two of the late genes encode the L1 and L2 coat proteins, necessary for virion formation, transmission and spread. Genes of the E&L region are





## 2.2. HPV Replication

HPV replication cycle is firmly associated with differentiation cycle of the infected epithelium (Sapp & Bienkowska-Haba, 2009; Wallace & Galloway, 2015). The life cycle is biphasic, consisting of both viral maintenance and amplification steps (Wallace & Galloway, 2015). Like other viruses, HPVs must introduce their genome and auxiliary proteins into host cells before using the cellular machinery for replication. HPV particles travel from the cell surface to the cytosol and then to the nucleus, where they replicate (Horvath et al., 2010; Howie et al., 2009).

HPVs initially infect basal epithelial cells, probably through abrasions & access cells via receptors ( $\alpha$ -6 integrin of HPV-16) then the viral genome replicates slowly along with its host cell (Wallace & Galloway, 2015). The only cell layer in an epithelium that is actively dividing is the basal epithelial cells. The virus keeps minimal copies of its genomic DNA in the nuclei of infected basal cells, preventing the viral productive lifecycle from progressing. If the infected daughter cell moves laterally, remaining in the basal layer, HPV continues the maintenance portion of its lifecycle. It is clear that viral replication and host differentiation systems closely interact because the productive lifespan requires host cell differentiation. (Kajitani et al., 2012; Wallace & Galloway, 2015). In terminally differentiated cells, the HPVs replicates to high-copy, late-genes expressed, viral DNA packaged into capsids and progeny virus is produced and released to re-initiate infection (Kajitani et al., 2012; Munger et al., 2004; Narisawa-Saito & Kiyono, 2007). Summary of HPV replication cycle is illustrated in Figure 2.

Another important aspect of the infectious cycle of HPV is establishment of long-term persistence in squamous epithelia, where cells constantly undergo differentiation and differentiated cells shed. Their carcinogenic potential strongly influenced by the particular strategies that HR-HPVs have developed to overcome these obstacles. (Munger et al., 2004).

As the infected epithelial cell develops, the HR-HPVs activate the cell cycle to produce a replication-competent environment that permits viral genome amplification and packaging into infectious virion. The E6, E7, and E5 gene products arbitrate this. Due to their high affinity to bind with p53 & pRB, the HR-HPV E6 and E7 proteins to stimulate cell proliferation in the basal and parabasal layers as well as to enhance cell cycle entry in the higher epithelial layers sets them apart from low risk varieties. (Doorbar et al., 2015). Hence, targeting the gene products of E6 & E7 as potential markers to detect precancerous lesions in the management of







E6/E7 mRNA could be a trustworthy test for both primary CC screening and the triage of borderline cytological abnormalities (Ratnam et al., 2011). Furthermore, the E6/E7 mRNA-based test can compensate for the HPV-DNA test's limited specificity in the identification of CIN2+ (Munkhdelger et al., 2014). The risk of cervical lesions turning into cancer is higher in women who tested positive for HPV E6/E7 mRNA, necessitating additional care and sooner check-ups (Bruno et al., 2018)

A meta-analysis by Yang and his colleague showed that women with positive HPV E6/E7 mRNA test results are more likely than women with negative results to proceed to CIN2+ in two years (Yang et al, 2017). Additionally, this study discovered that a positive HPV E6/E7 mRNA result indicates that the women with atypical squamous cells of unclear significance (ASCUS) or low-grade squamous intra-epithelial lesions (LSIL) were in a strictly dangerous stage, which is a bad prognostic indicator. It was recommended that cervical lesions continue to grow and that these women should be referred for a colposcopy and immediate strengthening of follow-up. Conversely, women whose mRNA testing results were negative could extend their follow-up period, avoiding needless colposcopy and lowering the incidence of colposcopy and biopsy (Yang et al., 2017).

Other similar studies also revealed that, testing for HR-HPV E6/E7 has the same sensitivity as HPV DNA tests, but is more effective for detecting CIN 2+. In addition, for determining the likelihood of progression and offer a viable tool for triage during CC screening, it may serve as a more specific test (Cattani et al., 2009; Zhao et al., 2014). It may, however, overlook some CIN 2+ cases. This is a significant reported limitation (Zhao et al., 2014). Women with negative mRNA test results cannot be assumed to be free of CIN2+ due to the lesser sensitivity, and therefore need to be monitored more closely (Verdoodt et al., 2013). However, the mRNA-based test is unable to either detect all HR-HPVs or identify the specific types of identified HPVs. In order to avoid this, a study recommends utilising a real-time PCR assay to find type-specific E6/E7 mRNA. Additionally, funding is provided for more study to establish a gold standard for RNA expression detection so that it can be incorporated into the regular cervical screening programme (Fontecha et al., 2017).

There are currently a number of commercially available E6/E7 mRNA-based tests, two of which are frequently used in HPV research: the PreTect<sup>TM</sup> HPV-Proofer (which targets HR-HPVs; 16, 18, 31, 33, and 45) and the Aptima HPV assay (which targets 14 types; HPV16,18,31,33,35,39,45,51,52,56,58,59,66, & HPV68) (Shen et al., 2013).

## 2.5. Genotype Distribution of HPVs in Ethiopia

The prevalence and type distribution of HR-HPVs vary greatly in different geographical areas. For the introduction of vaccination and HPV-based diagnostics, nationwide data set on the molecular distribution of HPVs from CC is essential (Sanjose et al., 2010). The most frequently identified genotypes from CC were HPV16,18,31,33,35,45,52, &58, with a combined worldwide relative contribution of 91 percent, according to a study on the distribution of HPV genotypes that included 38 countries from Europe, north & south America, Asia, Oceania, & Africa. In this large investigation, CC patients with HPV types 16 & 18 were found in 71% of cases (Sanjose et al., 2010).

Another similar large-scale study conducted in Ghana, Nigeria, and South Africa found that the most common HPV types from CC cases were HPV16 (51.2%), HPV18 (17.2%), HPV35 (8.7%), HPV45 (7.4%), HPV33 (4.0%), and HPV52 (2.2%). The study also revealed that the distribution of HPV genotypes appeared to vary by tumour type (Denny et al., 2014). Further, a systematic review aimed to assess the frequency of HR-HPV and its genotype distribution in sub-Saharan Africa, the first ten predominant types identified from cervical samples were HPV16, 52, 18, 56, 31, 35, 45, 58, 51 and 68 (Seyoum et al., 2022).

Based on the IARC report, globally the prevalence of HPV16 & or HPV18 among women with CIN2 and CIN3 and cancer was at 51.9%, and 69.4%, respectively (Bruni et al., 2017). Precancerous lesion risk was 10% and 15% for persistent HPV types 16/18 infection, whereas it was <3% for all other HPVs in combination (Wright & Schiffman, 2003). As a result, HPV genotyping is crucial for identifying specific HPV strains that cause cancer as well as for demonstrating risk stratification (Abreu et al., 2012). Characterizing HPVs is important for both patient evaluation with HPV infection and routine surveillance investigations (Nilyanimit et al., 2018).

Although it is essential for pre-vaccine and HPV-based test research, currently there is limited data on a nationwide prevalence and genotype distribution of HPVs in Ethiopia, although the government started HPV vaccination to schoolgirls at the end of 2018. There are few studies conducted on HPV genotype distribution in Ethiopia from cervical samples (Abate et al., 2013; Bekele et al., 2010; Eshetu, 2015; Fanta, 2005; Leyh-Bannurah et al., 2014; Mihret et al., 2014; Ruland et al., 2006). These studies used different kinds of cervical specimens and molecular

techniques to characterize HPVs and all have reported HPV16 as the most common genotype followed by other different types as depicted in Table 1. The observed HPV genotype heterogeneity suggests the importance of periodic genotyping surveillance at the national level for vaccine and HPV-based screening effectiveness (Seyoum A, 2023).

**Table 1: Summary of HR-HPV genotype distribution among women in Ethiopia, 2009-2023.**

| Article                    | Study area    | Sample size | HR-HPV, % | Dominant HR-HPVs      |
|----------------------------|---------------|-------------|-----------|-----------------------|
| Seyoum et al., 2023        | East Ethiopia | 886         | 12.4      | HPV16, 31, 52, 58, 35 |
| Teka et al., 2021          | Butajira      | 764         | 20.5      | HPV16, 35, 52, 45, 18 |
| Gebremeskel et al., 2018   | Multi-center  | 915         | 55.5      | HPV16, 56, 59, 52, 35 |
| Mihret et al., 2014        | Addis Ababa   | 20          | 100       | HPV16, 33, 38, 45, 58 |
| Leyh-Bannurah et al., 2014 | Atta          | 537         | 16.0      | HPV16, 52, 56, 31, 35 |
| Bekele et al., 2010        | Jimma         | 132         | 100       | HPV16, 18, 56, 45, 39 |
| Wolday et al., 2018        | Addis Ababa   | 233         | 83.2      | HPV16, 35, 45, 31, 56 |

Compiling six of these articles, a recent systematic review of the molecular profile of HPV in Ethiopia showed that HPV 16(37.3%), HPV 52(6.8%), HPV 35(4.8%), HPV 18(4.4%) & HPV 56(3.9%) were the predominate genotypes identified in some areas of Ethiopia (Derbie et al., 2022). However, data on the HVP genotype profile in the North and Southern part of Ethiopia is missing.

Because of considerable geographical differences in the HPV genotype distribution globally, data are required on HPV genotyping for a specific nation not only for vaccination but also to support HPV-based CC screening programs (Kietpeerakool et al., 2015) as profiling the types of HPV may help to advance screening programs effectiveness & to reduce overtreatment. Hence, considering this knowledge gap, the present study will attempt to characterize genotype of HPVs from cervical samples in the northwestern parts of Ethiopia where data are limited in this field.



CC becomes one of the emerging public health challenges in Ethiopia. Knowledge about the disease among Ethiopian women is poor (Derbie et al., 2023). Due to population expansion and age, as well as an increase in the prevalence of known risk factors, the incidence and prevalence are occasionally rising (WHO, 2020a). The incidence rate of CC among women from Ethiopia was 21.5/100,100 in 2020 (Bruni, 2021). The worldwide institute for research on cancer estimates that the 7,500 new cases of CC expected in 2020 could increase to 15,300 cases by 2040. Similar to other countries, Ethiopia could see an increase in disease-related deaths from 5,340 in 2020 to 11,000 in 2040 (Cancer, 2020). It is evident that cervical cancer is growing to be a significant cause of morbidity and mortality among women in Ethiopia despite the lack of high-quality cancer registries and trustworthy data in the field and the fact that the nation is unable to maintain effective cervical cancer prevention strategies.

The majority of people on the planet have HPV at some point in their lives. However, most HPV infections do not result in symptoms or disease and clear up on their own; over 90% do so within two years (WHO, 2016). However, persistent HR-HPV infection results in precancerous lesions i.e Cervical intraepithelial neoplasia (CIN) that have the potential to develop into cancer if left untreated, however this progression often takes several years (WHO, 2016; UK, 2014).

A premalignant lesion called CIN which can be in one of three stages: CIN1, CIN2, or CIN3. Untreated CIN2 or CIN3 can develop into CC. (WHO, 2013b). Abnormal cells often become normal over time, but can sometimes turn into cancer. These cells usually be treated, depending on their severity and on the woman's age, past medical history, and other test results. CC associated with HPV infection has different stages. The stage of cancer describes the extent of cancer in the body. It helps determine how serious the cancer is and how best to treat it (American Cancer Society, 2017b). CC stage ranges from stages I through IV as described previously (Mayo Clini 2017; American Cancer Society, 2017b). Stage I: the cancer is the only in the cervix. Cancer in the cervix and upper vagina are found at stage II. Stage III: The disease has spread to the pelvic sidewall or the lower part of the vagina. Stage IV: The cancer has progressed to other parts of the body, such as the lungs, liver, or bones, or to neighboring organs like the bladder or rectum (Mayo Clini 2017; American Cancer Society, 2017b).



Vaccination status also influences the development of CIN2+ (Niccolai et al., 2017). The stage of different CINs at which the above factors would put their influences on carcinogenesis process is not clearly established.

There is limited data in Ethiopia on epidemiological factors associated with CIN2+ among women with cervical pathologies. However, few studies conducted in the country showed that women's knowledge, willingness and acceptance of CC screening were low (Belete et al., 2016; Tefera & Mitiku, 2017). The scarcity of information reveals a substantial need for further studies on CC prevalence, incidence and mortality with associated risk factors in resource-limited settings for strong public health interventions to minimize the impact of the disease in Ethiopia.

## **2.8. Prevention and Control of Cervical Cancer**

By the age of 50 years, about 80% of women contract HPV infection. While most individuals are clear of the infection within two years, some types of HPV carry a high-risk of progressing to cancer (Broomall et al., 2010). Precancerous alterations are the hallmark of the most prevalent kind of CC, and there are ways to prevent the disease from progressing. First to prevent infection (through vaccination) and the second is to deal with pre-malignancies before they develop into actual cancers (screening) (American Cancer Society, 2017a).

CC may become a rare disease in the coming decades if HPV vaccination and HPV-based screening, including self-sampling, are scaled up globally. WHO has launched a Global Initiative to expand preventive, screening, and treatment programs in order to eradicate CC as a public health issue in the twenty-first century; a picture of the future in which CC is no longer a concern for public health. The Future Health Organization has established a goal for a world where the life-course approach is used to eradicate CC as a public health issue. Each nation should achieve the 90-70-90 targets by 2030, which call for: 1) 90 percent of girls to receive the HPV vaccine in its entirety by the age of 15; 2) 70 percent of women to undergo high-performance screening by the ages of 35 and 45; and 3) 90 percent of women with cervical disease to get therapy (WHO, 2020b).

Through the advent of preventive HPV vaccines, several countries are using Cervarix™ (that targets HPV16 and 18), Gardasil™ (HPV6,11,16,18) & recent nonavalent i.e Gardasil-9 that covers close to 90% of all HR-HPVs (HPV6, 11, 16, 18, 31, 33, 45, 52, and 58) (Zhai & Tumban, 2016). Gardasil-9 prevents 80-95 percent of other HPV-associated anogenital malignancies in both men and women and the HPV varieties linked to almost 90% of incidences of CC in women worldwide. Nevertheless, because of the diversity in HPV-type specific distribution, the vaccine provides different proportions of defense in different areas; the nonavalent vaccine provided protection against HPVs linked to ~87.7% of CCs in Asia, 92% in N.America, 89.5% in S.America & the Caribbean, 91.7% in Africa, 90.9% in Europe, & 86.5% in Australia (Zhai & Tumban, 2016). A third-generation HPV vaccine is now necessary in order to provide total protection against all HPV strains that cause CC (Zhai & Tumban, 2016).

HPV-based vaccination in Ethiopia was started in October 2018 for schoolgirls only. The vaccine, Gardasil-4®, targets HPV6, 11, 16, and 18. According to the information from WHO African region report, so far about 2 million girls aged 9-14 were vaccinated in the country (WHO, 2021). However, based on the available HPV molecular data in some parts of the country and our review result (Ali et al., 2019; Derbie et al., 2022; Derbie et al., 2019; Wolday et al., 2018) the proportion of girls who could be protected from HR-HPV infections using this vaccine is <60%. Therefore, there is a need to upgrade the vaccine into Gardasil®9. A recent study revealed that compared to the Gardasil-4™, a nonavalent Gardasil®9 that targets close to 90% of all HR-HPVs is a profitable option for Ethiopia (Wondimu et al., 2022).

After a vaccination program is implemented, it will take several decades before the effects of HPV vaccine are fully apparent. In addition, ensuring enough coverage and ensuring that all girls of the proper age receive vaccinations remains difficult. At the same time, the HPV vaccine does not replace cervical screening; hence early detection of cervical intra-neoplasia is also very crucial.

Ethiopian national guideline for cervical cancer prevention and management stated screening should be offered with one or a combination of the three screening methods: These are VIA, HPV DNA, & cytological screening (Federal Democratic Republic of Ethiopia, 2021). However, the VIA is the most widely used screening modality in Ethiopia irrespective of the following pitfalls. (i) VIA is exclusively performed in a health facility, and it needs the woman

to undergo a genital examination with trained providers with the insertion of a speculum that is not culturally acceptable by women in Ethiopia. (ii) Both VIA and cytologic screening methods need more infrastructure and human resource training. (iii) VIA has low specificity (a limitation in identifying true negative), that may lead to unnecessary referrals, overtreatment, and adverse psychological consequences to the women. Therefore, it is critical to implement a screening method that is more specific, cost effective and women friendly. The economic burden to introduce and widely practice HPV-based study in Ethiopia must be also well studied.

The majority of Ethiopian women had little awareness and acceptability of cervical cancer screening, according to many studies conducted to measure its level of acceptance, uptake, and examine factors related to it. (Derbie et al., 2023). This also need a well-planned intervention.

## Chapter Three: Methods and Materials

### 3.1. Study Setting

Between the first of March 2019 and the end October 2021, a hospital based cross-sectional study was carried out at Felege Hiwot Comprehensive Specialized Hospital (FHCSH). COVID-19 has influenced the data collection period of this study.

The FHCSH is located in Bahir Dar city (Figure 3) northwest Ethiopia, located about 565km away from the capital Addis Ababa. The FHCSH, with more than 500 beds, is a tertiary health care facility that provides several types of specialized referral services for about ten million people in northwest Ethiopia (Gojjam, Benishangul, Gondar, and Wollo). Hospital has been acting as a teaching facility for students at other private institutions as well as the Bahir Dar University College of Health Sciences. . Based on the information obtained from the hospital VIA clinic, before the time of data collection there were between 100 and 150 women per month coming to the clinic for CC screening service.

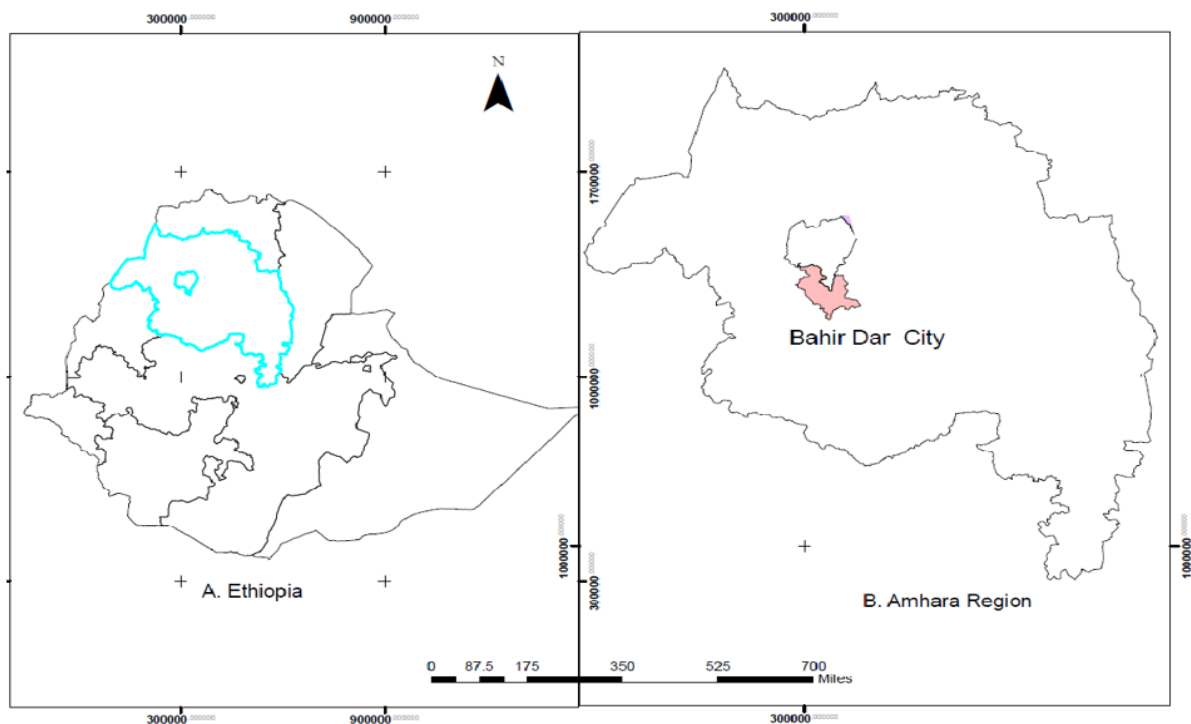


Figure 3: The study area.





### **3.7.2. Cervical Swab Collection and Handling**

Study participants underwent a general and pelvic examination in a compassionate and respectful process after ensuring their informed consent. Then, for HPV DNA and mRNA testing a gynecologist collected cervical swabs with a single-use broom-type brush (Digene.HC2DNA.collection device:Qiagen,Hilden, Germany) following the manufacturer's instructions. Briefly, after removing excess mucus from the cervical os and the surrounding ectocervix using a cotton swab, the brush was inserted 1-1.5 cm into the os of the cervix till its largest outer bristles touched the ectocervix. The brush was then taken out of the canal and put into the bottom of the transport tube after being turned three full rotations. The shaft was finally broken off, and the tube was safely capped. Specimen Transport Medium (STM) that contains 1ml 0.05% sodium azide as a preservative accompanied the sample collection tube. Swabs were labeled with a special code and the patient card number, and then kept at  $-80^{\circ}\text{C}$  in the CMHS research laboratory at BDU. Finally, the frozen specimens were transported on dry ice packs to Leipzig University for molecular analysis. The STM was validated for HPV DNA test using the Alinity m system in the Institute of Virology for routine diagnostic service.

### **3.7.3. Cervical Biopsy Collection and Processing**

During the time of data collection, the hospital didn't have a colposcopy facility hence traditional cervical punch biopsy was collected by a gynecologist using the four quadrant random biopsies collection (four punches each 0.5 cm, at positions of 3, 6, 9, and 12 o'clock) by the use of punch biopsy forceps following the recommended approach (Hu et al., 2017). Then, cervical biopsies were placed in screw-capped and labeled bottles that contained 20ml of 10% formol-saline fixative solution and transported to the hospital pathology laboratory for downstream processing by the senior pathologist as described previously (Ameya et al, 2017).

Briefly, using a fully automated tissue processing equipment, a senior pathologist processed the biopsy samples. While processing, water was removed by using ethanol. This was followed by adding a hydrophobic clearing agent, i.e xylene, to remove the alcohol and finally molten paraffin wax was used to infiltrate and replace the xylene. Subsequently, using LE/GA and EG1110 device the tissue was embedded in which tissue in paraffin wax remain attached to cassettes. About 3-5 mm tissue sections were cut with a rotary microtome and afterwards floated on a water bath that had been heated to between 60 and 65 °C. This makes picking sections on a microscopic slide easier and helps get rid of wrinkles that developed during sectioning. Then the slide was placed in an oven setted at  $>70^{\circ}\text{C}$  for ~40 minutes so that it will





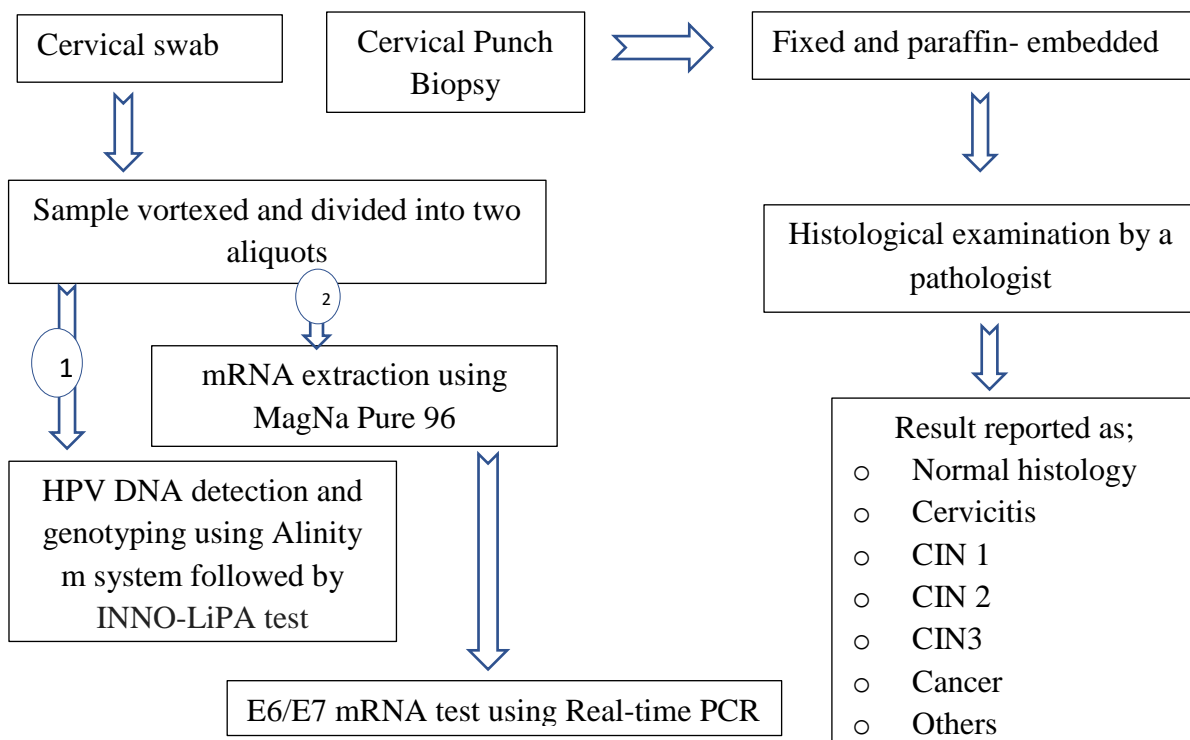


Cell-Control was changed to target RNase P as described previously (Wozniak et al., 2020). Primers (RP2-F: 5'- AGA TTT GGA CCT GCG AGC G-3' and RP2-R: 5'-GAG CGG CTG TCT CCA CAA GT-3', Metabion, Germany) were used according to the publication, the probe (RP-P-YAK: 5'- YAK-TTCTgACCTgAAggCTCTgCgCg-BBQ-3') reporter dye was changed to YAK to allow differentiation of HPV and cell control.

For DNA-depletion, nine microliters of RNA mixed with 10 µl of 2fold DNase I buffer and 1u DNase I (Takara) the incubated @ 37°C for about 30 minutes. This is followed by inactivation of DNase by addition of 1µl 50 mM EDTA and incubation at 65°C for 10 minutes. The mRNA-RT-PCR reaction mix (40 µl) consisted of 20 µl 2fold reaction mix, 0.64 µl MgSO<sub>4</sub> (final concentration 4mM), 1 µl of each 10 mM-Primer, 0.5 µl of each 4 mM Probe, 1 µl BSA, 1 µl SSIII/TaqEnzymeMix and 4.36 µl H<sub>2</sub>O and 10 µl of digested sample.

PCR cycling conditions on a LightCycler 96 (Roche, Mannheim, Germany) were as follows. Reverse-transcription at 42°C for 30 minutes, denaturation at 80°C for 2 min and 94°C for 2min which is followed by 40 cycles of 94°C 30s, 58°C 30s and 72°C for 30s with single signal detection at 72°C. Through determining the cycle threshold(CT), mRNA expression level was quantified. RNase P was used as cell-control system to avoid false negatives. Samples were re-tested without RT-Step to confirm sufficient DNA-depletion.

The overall procedural flow of the sample collection and analysis is summarized in Figure 4.



**Figure 4:** A chart representing the overall procedural workflow of the cervical sample collection and processing.



### **3.10. Ethical issues**

The Institutional Review Board (IRB) of the College of Health Sciences at Addis Ababa University and the Research and Ethics Committee of the Department of Medical Microbiology, Immunology, and Parasitology (DMIP) both gave their approval to the study methodology (Protocol number: 087/19/DMIP) (Appendix E). As the sample was processed abroad, ethical clearance was additionally ensured by the Ethiopian NRERC (National Research Ethics Review Committee) at the Ministry of Education (Ref number: 7/2-149/m259/35) (Appendix F).

The procedure of specimen collection explained to all participants using their mother tongue. Then, informed consent (written) was ensured from the study subjects to take part voluntarily after they learn about the goal and aim of the research. The histology and molecular test results (including abnormal findings) were communicated to the hospital VIA clinic. All data gathered from respondents kept private and is only utilized for this study project.

## Chapter Four: Results

### 4.1. Demographic and Other Features of the Participants

In this study, 355 women were included. At enrolment, the study participants were aged 30–80 years (mean 46.4 years). Most of the study subjects were married 272(76.6%), housewives 314(88.5%), were from rural settings 232(65.9%), and did not have formal education 272(76.6%) (Table 3).

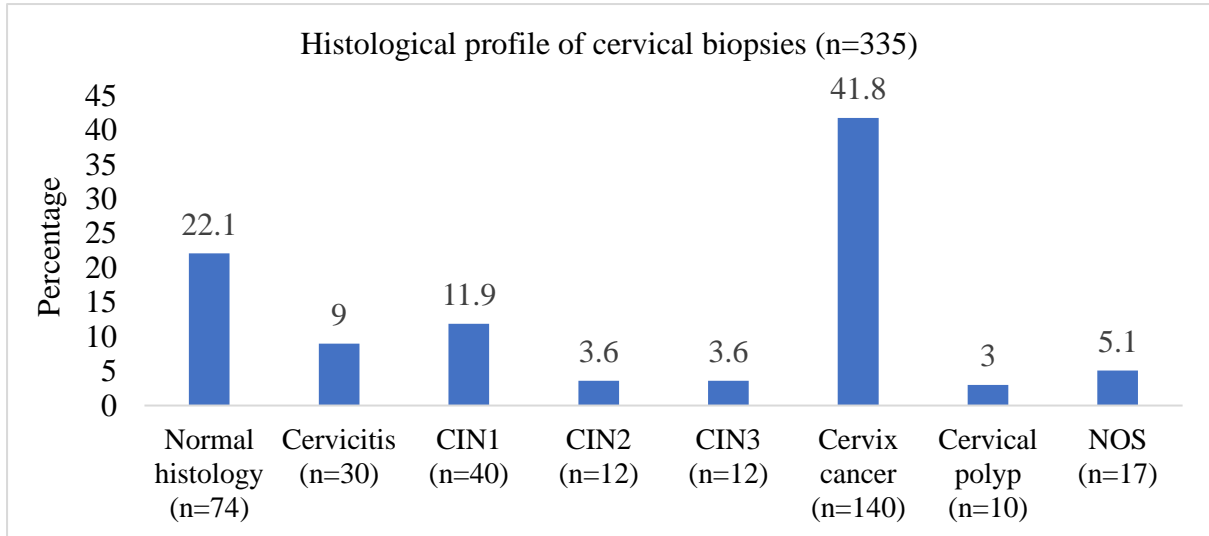
Moreover, most of the study participants at 277(79.4%) started sex before 18 years of age (mean age at first sexual debut was at  $15.7 \pm 2.6$ ). Besides, 48(13.5%), 106(30.0%), and 180(51.6%) of the participants were HIV positive, had a history of vaginal discharge, and had multiple sexual partners, respectively.

A majority of the study subjects at 211(59.4%) knew nothing about CC. The participants' screening practice for CC and screening in the last five years were 97(27.3%) and 88(24.8%), respectively. Besides, their knowledge about the disease was very limited, and among those only 11(8.9%) of them know that the disease is caused by a sexually transmitted pathogen (Table 3).



## 4.2. Histopathological Profile of the Participants

A cervical histology report was available for 335 participants. Of which, the majority of cervical histopathological findings were CC 41.8% (140/335; 95%CI: 36.6-47.1%). The proportion of high-grade precancerous lesions and CC together (CIN2+) was 49.0% (164/335; 95%CI: 43.6-54.2%). Seventy-four (22.1%; 95%CI: 18-26.8) of the participants had normal histology. The detailed histological profile of the study participants is presented in Figure 4.



**Figure 5: Percentage distribution of cervical histopathology findings, northwest Ethiopia, 2021.** CIN: Cervical intraepithelial neoplasia.

**NOS:** Not otherwise classified; these categories include atypical endocervical glandular proliferation (#1), focal of atypical columnar epithelium lining (1), unremarkable endocervical tissues (6), myoma (3), benign polypoid endocervical tissues (2), cervical wart (1), napotian cyst (1), bloody and non-diagnostic sample (2).

## 4.3. Type of the Identified HR-HPVs

For the detection and genotyping of HR-HPVs, data from 355 study participants were used. The prevalence of HR-HPV was 53.0% (188/355; 95%CI: 47.8-58.1%). From these samples (n=188), 13 different HR-HPVs were identified. The total frequency of the identified HR-HPVs including co-infections was 258. As participants ages grew, there was a noticeable increase in the detection of HR-HPV. Similarly, the detection HR-HPVs was found to be different based on screening history of the study participants ( $p$ -value  $<0.05$ ) (Table 4).







#### 4.4. The Distribution of HR-HPVs in Different Histopathological Grades

Concerning the types of HR-HPVs involved in different histopathological grades, all HPV16, HPV18, HPV35, & HPV45 were discovered to be linked to either high-grade lesions (CIN2+) or CC, whether they were present singly or in coinfections. Likewise, 79(80.6%), 7(87.5%), 3(75%), and 5(100%) of HPV16, HPV18, HPV35, and HPV45 mono infections were identified in histopathologically confirmed cancer cases, respectively. Similarly, 11(78.6%) HPV31&33 coinfections were recovered from cancer cases.

Specifically, the detection of rate of HPV16 was increased with the higher degree of lesions in which the proportion was 12.5% (n=5), 16.7% (n=2), 41.7% (n=5), and 60% (n=79) in CIN1, CIN2, CIN3, and cancer cases, respectively. Seven of the eight (87.5%) HPV18 were detected in CC cases. In 9.7% (13/134) of CC cases, HR-HPVs were not detected (Table 6).

The proportion of mRNA noted with an increased level along with the higher degree of lesions of the cervix from 5%(2/40) in CIN1 to 25%(3/12), 50%(6/12), and 70.1% (94/134) in CIN2, CIN3 and CC, respectively (Table 5). Likewise, 78.8% (n=80) of HPV16, 88.9% of HPV18 (n=8) and 66.7% HPV 45 (n=4) E6/E7 mRNA were detected among cancer cases, respectively (Table 6).

**Table 5: The detection of DNA and mRNA based on cervical histologies, northwest Ethiopia, 2021.**

| Tests             | Cervical histology, n (%) |           |           |        |           |            |
|-------------------|---------------------------|-----------|-----------|--------|-----------|------------|
|                   | Normal                    | CIN1      | CIN2      | CIN3   | Cancer    |            |
| <b>HR-HPV DNA</b> | +                         | 15 (20.5) | 11 (27.5) | 3 (25) | 10 (83.3) | 121 (90.3) |
|                   | -                         | 58 (79.5) | 29 (72.5) | 9 (75) | 2 (16.7)  | 13 (9.7)   |
| <b>E6/E7 mRNA</b> | +                         | 8 (11)    | 2 (5)     | 3 (25) | 6 (50)    | 94 (70.1)  |
|                   | -                         | 65 (89)   | 38 (95)   | 9 (75) | 6 (50)    | 40 (29.9)  |
| <b>Total</b>      | 73                        | 40        | 12        | 12     | 134       |            |

















prevalence of HPV16 and HPV18 was 55.1%, which implies that a significant proportion of girls might not be protected despite they are vaccinated with Gardasil-4®.

In Ethiopia HPV vaccination is not part of the country level vaccination program. One reason may be the cost of the vaccine. However, in a pilot program, the uptake was very low even if the vaccine was made available. A recently published studies in different parts of Ethiopia showed that the acceptance of the vaccine was very low, at 44.4% in Ambo (Beyen et al., 2022), 45.3% in Bahir Dar (Lakneh et al., 2022), 50.4% in Arbaminch (Ukumo et al, 2022) and 66.5% in Minjar Shenkora (Kassa et al., 2021). Using Gardasil-4® coupled with its low acceptance will complicate the fight against CC in Ethiopia. A recent cost-effectiveness analysis revealed that, compared to the Gardasil-4™, a nonavalent vaccine, Gardasil®9 targets close to 90% of all HR-HPVs (16, 18, 31, 33, 45, 52, and 58) and is a profitable option for Ethiopia (Wondimu et al., 2022).

A large proportion of HPV infections are sustained by multiple genotypes (Bello et al., 2009). In the present study, 23.9% (45/188) of the participants were found infected with  $\geq 2$  (i.e up to 5) HR-HPVs, especially among women in 30-40 years of age. A similar study reported 27.3% (30/110) of multiple HR-HPV infections in Eastern Ethiopia (Seyoum et al., 2023). Another study in China similarly reported 29.8% HR-HPV multiple infections from abnormal cytology (Song et al., 2020). Multiple HPV infections are usually common at a younger age of sexual debut (Bello et al., 2009). However, the role of such multiple infections in cervical carcinogenesis has not been well explained in Ethiopia. Wentzensen *et al.* reported up to 14 HPV types from a single cervical specimen although they did not observe type interactions among multiple genotypes (Wentzensen et al., 2009). We also noted infections with many HR-HPVs without the development of high-grade lesions. For instance, infections with HPV31, 52, 66, and infections with HPV31, 52, 39, 59, and 68 were not found to be associated with any form of CIN2+.

Contradicting reports about the role of multiple HR-HPV infections in cervical carcinogenesis are coming out. For instance, Adcock and his colleague reported that the type of HPV & the load of the virus, but not a multiple co-infections of HPV are significant predictors of high-grade lesions including CC (Adcock et al., 2019). In contrast, a study by Kim et al. (2021) showed multiple HPV infections were found significantly associated with CIN2+ compared to infections with single HPV types. Furthermore, according to Kim *et al.* report, individuals with two or more HPVs infecting cervix exhibited a persistent and extended period of the infection compared to women having one HPV colonization (Kim et al., 2021). The character of multiple HR-HPV infections in the association of an advanced form of cervical lesions including the potential efficacy of HPV vaccines on such infections warrants further research in Ethiopia (Teka et al., 2021).

Concerning the types of HR-HPVs involved and histopathologic grade, all HPV16, HPV18, HPV35, and HPV45 were associated with either CIN2+ in the present study. Specifically, 79(80.6%), 7(87.5%), 3(75%), and 5(100%) of HPV16, HPV18, HPV35, and HPV45 were respectively observed in histopathologically confirmed CC. Specially, the detection of HPV16 was increasing with the level of advanced cervical lesions in which the proportion was 5(12.5%), 2(16.7%), 5(41.7%), and 79(60%) in CIN1, CIN2, CIN3, and cancer, respectively. Similarly, (87.5%) HPV18 were detected in CC cases. This implies that it would be appropriate to consider targeted protocols for the close follow-ups/management of individuals who tested positive for HPV16 and HPV18 (Maria et al., 2018). Previous works also reported a statistical association amongst HPV16 & HPV18 and progression of cervical lesions (Joharinia et al., 2019).

Similarly, in our study 11(78.6%) HPV31&33 coinfections were recovered from cancer cases. A study showed that next to HPV16, the hierarchy of HPV types based on their carcinogenic potential was reported to be HPV33 followed by HPV31(Adcock et al., 2019). Song *et al.* in China also discovered that HPV16 &33 were increased significantly with the higher severity of cervical lesions (Song et al., 2020).

According to the latest WHO report (2022), a large proportion of CC cases (>95%) are due to infection with HR-HPV (WHO, 2022b). In our study, 9.7% of CC cases were without HR-HPVs. A study in Belgium showed that up to 15% of CCs were reported to be without HPV infection (Tjalma, 2018). Additional study is required in this regard in Ethiopia.

Regarding the test performance of the mRNA test, we evaluated the analytical piece of this transcript of HPV16, 18 & 45, which are important genotypes commonly identified in Ethiopia (Derbie et al., 2022) taking histology as an a standard procedure. Multiple studies in the field have shown that for the initial/primary screening, testing for DNA is in general more sensitive than mRNA-based tests. In our study, using the mRNA test that targets HPV16, 18, and 45, we detected 35.8% (127/355) positive cases while the DNA test was positive at 53% (188/355). The DNA test included 10 additional HPVs than the test we employed in mRNA testing. For fair comparison of these tests in our setting, our study strongly suggests large-scale similar studies aimed at evaluating HR-HPV mRNA and DNA detection capacities on important HR-HPVs circulating in Ethiopia.

HPV DNA test for HPV16, 18, & 45 was positive at 42% (149/355). The total agreement of the DNA & the mRNA tests for these three HPVs was at 92.7% with a kappa value of 0.821. Similar finding was reported in China with an agreement of these two tests at 90.7% (kappa =0.8) (S. K. Zhang et al., 2020). Other studies also reported an over agreement of HR-HPV mRNA and DNA based tests over 90% (Castle et al., 2015; Cook et al., 2017)

We observed that the E6/E7 mRNA positivity was increased with a higher grade of histology from 5% in CIN1 to 70.1% in CC. This implies that the occurrence the E6/E7-mRNA transcripts is crucial in cervical disease progression (Andersson et al., 2011). This is because, for the initiation and development of cervical tissue dysplastic characteristic, a continuous expression of these mRNA required (Johansson et al., 2015). Our study justified the hypothesis that E6/E7 mRNA is a possible biomarker for the marking of women who are in risk of developing CC as it associates with the severity of cervical lesions as compared with the DNA-based test (Fontecha et al., 2016; Lie & Kristensen, 2008).

DNA-based HPV tests determine if HPV DNA is present or not. But, most of HRHPV infections tend to be temporary & go away on their own after a couple of years. Only actively infected cells with HR-HPVs produce E6/E7 mRNA, and this expression rises as CIN develops and progresses (Zhang et al., 2020). Henceforth, commercial assays aimed at concurrent typing and detection of the mRNA (E6/E7) for common HR-HPVs are becoming available (Garland et al., 2023).

For both initial/primary CC screening & triage of marginal pap-smear abnormalities It is also reported that E6/E7 mRNA detection could serve as a reliable test (Ratnam et al., 2011).

Women who tested positive for high-risk human papillomavirus E6/E7-mRNA had quite greater risk for CIN2+ & might warrant more attention and earlier health facility visits (Bruno et al., 2018; Dabeski et al., 2019). Besides, it was stated that the mRNA test demonstrated advanced diagnostic correlation in the estimate of Rx failure among surgically treated CC cases in Greece compared with the HPV DNA test (Carcea et al., 2021). Several similar reports support our finding in which HR-HPVs that are frequently associated with high-grade cervical lesions express E6/E7 mRNA more often than other HPV types (Bruno et al., 2018; Dabeski et al., 2019; Khieu & Butler, 2022).

Like the detection of HPV DNA, we observed higher E6/E7 mRNA positive rates in older women than the younger one ( $p$  value  $<0.001$ ). The positivity rate increased from 26% among 30-40 years to 37% in those women who were above 40 years of age. A similar finding was reported previously (Zhang et al., 2020). The quick removal of HPV infection and regression of CIN in younger women is common hence, conservative management of such women is important (Zhang et al., 2020).

Among mRNA-positive cases, HPV16 at 85% (108/127) was the most predominant type that expressed mRNA in different grades of cervical histology followed by HPV18 (8.7%) and HPV45 (4.7%). In one way, the higher proportion of HPV16 in the genotyping might correlate with the observed higher E6/E7 mRNA expression. Further, the link between these HR-HPVs with an increased cervical lesion pattern could be due to their potential to express cell-transforming E6/E7 mRNAs (Andersson et al., 2011). Specifically, 78.8% of the E6/E7 mRNA of HPV16, 88.9% of HPV18 and 66.7% HPV45 were detected among cancer cases. As expected, these HPV types, especially HPV18 & 16, are important in cervical disease and were previously reported in Ethiopia as well (Derbie et al., 2022).

The sen & spec of the mRNA test for the identification of histologically confirmed CIN2+ was 65.2% and 90%, correspondingly. While our DNA assay targets ten more HPVs other than HPV16, 18 & 45, the detection rate of the test was higher with an analytical sensitivity of 84.8% and a specificity of 74.1%. The high specificity of the mRNA test partly explained by the fact that the test targets only the three HPV genotypes (that constitute about 57.8% (149/258) of the identified HR-HPVs). However, studies in the field assert that the mRNA test has a very good specificity as far as CIN2+ detection is concerned (Dabeski et al., 2019; Derbie, et al, 2020). The reported higher specificity by mRNA test could be also illustrated by our



test/triage/ alternative of women tested +ve for HR-HPV in Ethiopia. Especially this is vital in regions where there is lack of well-trained pathologist and colposcopy facilities (Yao et al., 2017). For both primary CC screening and triage of marginal lesions (like HPV positive cases), the mRNA might be used as a trustworthy marker to be considered (Ratnam et al., 2011).

Generally, the diagnostic relevance, most importantly the better specificity and PPV of the mRNA test to detect advanced cervical lesions and its high sensitivity among HPV-DNA positive cases makes it a potential tool to be considered in areas where there are limited cytology/histology testing facilities. However, our study encourages further large-scale studies including its cost implication in Ethiopia. As HPV-based tests are becoming evident in Ethiopia it might be useful to consider the most important HPVs circulating in the country for further studies to optimize the analytical sensitivity and specificity of this novel test.

As far as the histological profile of cervical biopsies is concerned, having data on this topic from institution-based studies, like ours, is important in reflecting the overall picture of cervical lesions including cancer of the cervix to help guide preventive works. The histopathological

profile of cervical biopsies is also providing the basis for a tailored management of patients (Ameya et al., 2017).

In our study, at the time of hospital visit, 41.8% of study subjects were diagnosed with CC and the proportion of CIN2+ generally was 49.0% that implies most women come to the hospitals at higher stage of the disease a long time later they experience the first symptoms of cervical lesions. A previous study also showed that most Ethiopia women present to hospitals at higher stage of the disease/CC (Begoihn et al., 2019). CIN-1 regress highly (>85%) in two years and it occasionally advances to cancerous lesions. However, if it left untreated, a large proportion of CIN2 and CIN3 in particular could progress to CC (Loopik et al., 2019). The good thing is that, as the disease progresses slowly it gives enough time for early detection and management. The higher rate of premalignant lesions and CC in our finding suggests the need to upsurge awareness of the community and strengthen early cervical lesion detection and treatment.

Few similar studies conducted in Ethiopia reported comparable findings with our result. For instance, a retrospective study by Ameya *et al.* in Hawasa, reported the proportion of cancerous lesions to be 49.3% among symptomatic women plus the level of precancerous lesions were 9.9% (Ameya et al., 2017). Another histopathological-based study in Addis Ababa by Ergete *et al.* on the objective of assessing the causes of postmenopausal bleeding reported that the proportion of CC was 84.8% amongst all cancers of genital tract (Ergete et al., 2001). The relatively higher proportion of CC in the Ergete *et al.* study might be explained by the difference in the type of studied population in which the study typically involved women with postmenopausal bleeding in contrast to our study which involved women aged  $\geq 30$  years.

A nine-year retrospective data analysis of 1,049 cervical histology reports in Ghana revealed that the majority of cervical samples at 99.4% were diagnosed as CC (BM., 2020). Another retrospective-based study aimed at analyzing 500 histological reports in Malawi showed that the proportion of cervicitis, endocervical polyp, and CIN to be 46.0%, 20.5%, and 24.4%, respectively (Kaseka et al., 2021). Further, a study in Nepal on the histological profile of cervical biopsy indicated that benign, inflammatory, borderline, and malignant lesions were the commonest findings. Proportions of CIN1, CIN2, and CIN3 were 5.8%, 2.0%, and 0.4%, respectively among women with different cervical pathologies who underwent cervical histological examination (Vaiday et al, 2017). Similarly, in India, among 200 women with

cervical histology examination, 35% had cervicitis, 12.5% had cervical polyps, 20% had CIN1, 3% had CIN2, 0.5% had CIN3, and 3.5% had CC (Jain, 2018).

The differences in the proportion of the histopathological findings across these studies might be explained by factors like variations in the severity of the lesions that women presented with, level of awareness of the participants for cervical screening practice, women's health policy of nations, time and setting difference among the studies and socio-demographic related aspects.

At large, the relatively higher proportion of high-grade and cancerous lesions in our report as compared to other countries could be due to disparities of study population, the dissimilarity in accessibility of better women health care practices including inadequate vaccination and cervical screening coverage and inadequate facilities for the management of precancerous lesions in Ethiopia. Further, as the majority of our study participants were from rural settings (66%) and had no formal education (77.3%), these might have also a link with poor awareness and knowledge among studied women about CC including its means of transmission, prevention, and the stage when they should seek medical attention. Most of participants in our research also reported that they have no idea about CC at all. Previous studies also stated that most Ethiopian women had poor knowledge about CC (Derbie et al., 2021; Kassie et al., 2020).

On top of this, the relatively higher prevalence of HIV in our setting might also be an important contributor to the high rate of CC in the present study. Despite majority of our study participants were from rural setting, the prevalence of HIV in our study was relatively higher (13.1%) than the national average. A study in Debre Tabor by Kiros *et al.*, reported that among women participants who came to hospital for cervical screening with VIA, the proportion of HIV positive once were 23.6% (129/546) which is much higher than our report. According to Kiros *et al.*, study, the majority at 60% were from rural setting. This is an interesting report that calls an action to reduce the burden of HIV among rural women in Ethiopia (Kiros et al., 2021).

The proportion of at least one time cervical screening practice was quite low (27.8%) in our study which was much lower than the WHO recommendations but relatively larger than the



Studies in Ethiopia showed that patients with CC most often visit health facilities after a long time leading to advanced stages of the lesion during the time of diagnosis (Begoihn et al., 2019; Tesfaw et al., 2020). This might be due to deprived information about CC & the nature of the screening program in the country, which is mainly by using VIA technique. In poor nations like Ethiopia, where no available established cervical screening and vaccination coverage, such kind of disease pattern across age categories is highly predictable. The best way to avert the continued increase of the disease with age would be through comprehensive cervical screening of eligible women with a convenient method, like using HPV based tests. For instance, a trial-based study by Gizaw *et al.* demonstrated significantly higher levels of population-based cervical screening uptake and adherence for self-collection HPV testing than the VIA-based screening in Ethiopia (Gizaw et al., 2019).

In our project, the other important predictor towards CIN2+ was infection with HR-HPV. We noted higher proportion of HR-HPV with advanced degree of cervical lesion ( $p$ -value  $<0.0001$ ). Studies in South Africa and Brazil also reported findings in line with our report (Girianelli et al., 2009; Johnson et al., 2020). In the present study, 265 (79.8%) of the participants started having sex before the age of 18 years. Mean age during the participants firsttime intercourse was  $15.7 \pm 2.6$  years. Moreover, 30.7% & 50.9% of the participants had a history of vaginal discharge and sex with multiple partners, respectively. These findings indirectly suggest the participants' risk of exposure to different kinds of sexual transmitted infections including HR-HPVs that proportionally increase the risk of advanced stage of cervical lesions and eventually CC development. It is a well-established knowledge that women who have persistent infection with HR-HPVs ultimately develop high-grade lesions including cancer in later years (Mittal et al., 2017).

We also noted that participants who were positive for E6/E7 mRNA were about six times more likely to develop CIN2+. This supports the fact that the existence of these mRNA is important in cervical lesion advancement (Andersson et al., 2011). This is because for the initiation and and keeping of the tumor phenotype, continuous expression of these RNAs in the integrated HPV genome is necessary (Johansson et al., 2015).

Lastly, we noted that women who had no CC screening practice in the last five years were about two times more likely to develop CIN2+. Some women not screened regularly could

potentially develop cancerous cervical lesions at the late stage of their life. In contrast, a study revealed that women who are sufficiently screened had less chance to be diagnosed with high-grade and cancerous lesions (Hammer, et al., 2019). Since the majority of our study participants were from rural settings, had no formal education, and without information about CC, they might miss important CC prevention approaches, including screening at regular intervals. Illiteracy among women results in poor health-seeking behavior (Gyenwali et al., 2013), especially for gynecologic-related symptoms, which most people in Ethiopia considered as a taboo. In the present study, participants' previous history of cervical screening practice was reportedly very low, at 27.8%.

Screening encompasses testing for HPV infection to detect precancerous lesions the earliest possible, followed by treatment when apposite. When screening detects HR-HPV infection or precancerous lesions, these can easily be treated and therefore advanced stages of the lesion could be prevented. The WHO recommends that screening should begin at the age of 30 in the general population, with a regular screening every 5-10 years, and from 25 years of age for HIV-positive women who should be screened frequently, every 3-5 years. Vaccination, cervix-screening, & Rx of pre-cancer changes are profitable ways in the prevention and control of CC (WHO, 2022a).

As far as associated factors for CIN2+ are concerned, the clinical implication of our findings implies that women above the age of 50, those who tested positive for HR-HPVs/ E6/E7 mRNA, and those who had no cervical screening history should get top priority for close follow-up.

### **Limitations of the study**

Our findings should be interpreted in line with the following limitations. 1) We used a cross-sectional study that has inherent problem in predicting cause and effect association, including the chance of cervical advancement in line with E6/E7 mRNA expression of high-risk HPVs. 2) We have used biopsy taken blindly in four quadrants i.e. it is not colposcopy guided hence there is a chance for inadequate sampling. 3) The histopathological report was generated by a single pathologist; slides were not double-checked. 4) The mRNA analysis did not incorporate all the relevant HR-HPVs identified by our HPV DNA test due to time and financial constraints. 5) The use of CIN2+ endpoint using histology results when assessing the mRNA assay has its own drawbacks as lesions might regress (false +ve) or progress (false -ve) from histologically asserted cervical changes (Burger et al., 2011). 6) Finally, since our study is hospital-based, the conclusion is rather limited. As a result, our conclusion might not be inferred to the general patients.

## Chapter Six: Conclusions and Recommendations

### 6.1. Conclusions

**Key findings:** Among the 355 participants, the overall proportion of HR-HPV was 53.0% (188/355) from which 13 different genotypes were identified. Of which, HPV16 at 50.4% was predominant. The HPVs that are part of the nonavalent (HPV16,-18,-31,-33,-45,-52 &-58) vaccine accounted for 79.1%. Specifically, the combined prevalence of HPV16 & 18 was 55.1%. The E6/E7 mRNA test that targets three HR-HPVs (HPV16, 18 & 45) was positive at 35.8% (127/355). The positivity rate of the HPV DNA for these HPVs was 42% (149/355). The test agreement of HPV-DNA and -mRNA was at 92.7% with  $k$  score of 82.1%. The detection of both HR-HPV (DNA) & E6E7 mRNA enhanced with the age of the participants and degree of cervical lesions ( $p$ -value  $<0.001$ ). For instance, the detection of mRNA was 5% in CIN1 but increased to 70.1% in CC. Among all samples, the mRNA test meaningfully demonstrated a clinical specificity of 90% and PPV of 85.8% for the detection of histologically confirmed CIN2+ while the HPV DNA test had better sensitivity at 84.8%. However, the Sen and Spe of mRNA assay was 92.7% and 47%, among HPV16, 18, & 45 DNA-positive cases. Majority of the study participants were unaware of CC (59.4%), and did not know that HR-HPVs are transmitted sexually (91.1%). The participants' cervical screening history was about 25%. Most of biopsies at 41.8% were diagnosed as CC. High-grade lesions (CIN2+CIN3+CC) in general accounted for 49.0% (164/335). Women being above the age of 50 years, with no screening history, those infected with HR-HPVs and tested positive for E6/E7 mRNA were significantly associated with CIN2+ ( $p$  value less than 0.05).

⇒ We observed that the majority of the study subjects were unaware of CC and did not have a screening history despite the high burden of CIN2+ and HR-HPV infection in the study area. The vaccination and HPV-based screening practices in Ethiopia will be directly influenced by such studies. Access to cervical screening together with HPV vaccination is considered a key pillar in CC prevention, yet access to screening in the study area is far from where it needs to be. The E6/E7 mRNA test showed better specificity and PPV (with a better sensitivity among HPV-DNA positive cases). Hence, the test could be considered for colposcopy triage after the HPV DNA test so that it would minimize cost and patient anxiety. The test has also diagnostic relevance to detect CIN2+ and could be considered in areas where there is no histological test facility. The mRNA-based test could be a suitable alternative for primary CC screening and triage in Ethiopia once generating additional data and assessing its cost implications.

## 6.2. Recommendations

Based on our important findings, the following recommendations are forwarded to relevant stakeholders and researchers for future consideration.

- Action to expand and strengthen the ongoing activities in increasing the awareness of the public about CC in the study area shall be in place.
- As per the WHO recommendation, women who are eligible for screening needs to be screened with high-precision test, including with HPV-based tests.
- All forms of CC prevention strategies including the consideration of multivalent HPV vaccination shall be in place in the study area.
- Vaccinations and HPV-based screening tests should take into consideration the major HR-HPVs circulating in the country.
- Community-based similar studies with a better HPV detection method should be considered for improved appreciation of the HPVs circulating in northwest Ethiopia.
- The role of HPV18 and multiple HPV infections in high-grade cervical lesions entails further study in Ethiopia.
- A longitudinal study should be considered in our setting to set a period within which women with a positive HR-HPV DNA test, but a negative E6/E7 mRNA test would be safely followed.
- Lastly, to optimize the analytical sensitivity and specificity of the HR-HPV E6/E7 mRNA assay, large-scale studies that target important HR-HPVs circulating in Ethiopia should be considered.







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## List of Publications and Papers Presented

### Original Articles

1. **Awoke Derby et al.** High-risk human papillomavirus genotype distribution among women with gynecology complaints in northwest Ethiopia. *Infectious Agents and Cancer* 2023; 18(1):4. DOI: [10.1186/s13027-023-00481-3](https://doi.org/10.1186/s13027-023-00481-3)

Derbie et al. *Infectious Agents and Cancer* (2023) 18:4  
<https://doi.org/10.1186/s13027-023-00481-3> Infectious Agents and Cancer

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# High-risk human papillomavirus genotype distribution among women with gynecology complaints in northwest Ethiopia

Awoke Derby<sup>1,2,3,4,5†</sup>, Melanie Maier<sup>5†</sup>, Bereket Amare<sup>6</sup>, Eyaya Misgan<sup>7</sup>, Endalkachew Nibret<sup>3,8</sup>, Uwe G. Liebert<sup>5</sup>, Yimtubezinash Woldeamanuel<sup>2,4</sup> and Tamrat Abebe<sup>4</sup>

2. **Awoke Derby et al.** Histopathological profile of cervical punch biopsies and risk factors associated with high-grade cervical precancerous lesions and cancer in northwest Ethiopia. *PLoS ONE* 2022; 17(9):e0274466. DOI: [10.1371/journal.pone.0274466](https://doi.org/10.1371/journal.pone.0274466).

# PLOS ONE

OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

## Histopathological profile of cervical punch biopsies and risk factors associated with high-grade cervical precancerous lesions and cancer in northwest Ethiopia

Awoke Derby Bereket Amare, Eyaya Misgan, Endalkachew Nibret, Melanie Maier, Yimtubezinash Woldeamanuel, Tamrat Abebe

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## Review Articles

1. **Awoke Derbie et al.** Cervical cancer in Ethiopia: a review of the literature. *Cancer Causes & Control* 2022; 34(3):1-11. DOI:10.1007/s10552-022-01638-y
2. **Awoke Derbie et al.** Advances in cancer immunotherapy: a review of the literature. *Ethiop Med J*, 2022, Vol. 60, No. 2
3. **Awoke Derbie et al.** Human papillomavirus genotype distribution in Ethiopia: an updated systematic review. *Virologia J.* 2022 Jan 15; 19(1):13. DOI: 10.1186/s12985-022-01741
4. **Awoke Derbie et al.** Low level of knowledge about cervical cancer among Ethiopian women: a systematic review and meta-analysis. *Infect Agents Cancer* 16, 11 (2021). <https://doi.org/10.1186/s13027-021-00350-x>.
5. **Awoke Derbie et al.** HPV E6/E7 mRNA test for the detection of high grade cervical intraepithelial neoplasia (CIN2+): a systematic review. *Infectious Agent and Cancer*, DOI : 10.1186/s13027-020-0278-x>
6. **Awoke Drbie et al.** Human papillomavirus in Ethiopia: A systematic review. *VirusDis* 2019; 30(2), 171-179.

## Manuscripts ready for publication

1. HR-HPV E6/E7 mRNA test for the detection of high-grade cervical lesions among women with gynecology complaints in northwest Ethiopia (Original MS)
2. Acceptance of Human papillomavirus vaccination and parents' willingness to vaccinate their daughters in Ethiopia: a systematic review and meta-analysis

## Papers Presented

I have presented the following papers on different conferences (some of the certificates of recognition are attached with).

1. **High-risk Human papillomavirus genotype distribution among gynecology complaints in northwest Ethiopia.**
  - Annual conference of Ethiopian Public Health Association (EPHA), 2023
2. **Histopathological profile of cervical punch biopsies and risk factors associated with high-grade cervical precancerous lesions and cancer in northwest Ethiopia.**
  - Annual conference of Ethiopian Society of Obstetrics and Gynecology (ESOG), 2023.
  - Annual Consortium of Reproductive Health Association (COHRA), 2023
  - Annual conference of Ethiopian Public Health Association (EPHA), 2023
3. **Human papillomavirus genotype distribution in Ethiopia: an updated systematic review.**
  - Annual conference of Ethiopian Society of Obstetrics and Gynecology (ESOG), 2022.
  - Annual conference of Ethiopian Public Health Association (EPHA), 2022
  - Annual conference of Ethiopian Society of Hematology and Oncology, 2022.
4. **Low level of knowledge about cervical cancer among Ethiopian women: a systematic review and meta-analysis.**
  - Annual conference of Ethiopian Society of Obstetrics and Gynecology (ESOG), 2021.
  - Annual conference of Ethiopian Public Health Association (EPHA), 2021





Acc No: 02EMWA-CPDP1420

Issued Date: April 28, 2023

## CERTIFICATE OF PARTICIPATION

The Ethiopian Society of Obstetricians and Gynecologists (ESOG) certifies  
that

# Awoke Derby

has presented a research entitled *Histopathological profile of cervical punch biopsies and risk factors associated with high-grade cervical precancerous lesions and cancer in northwest Ethiopia* at the scientific session of ESOG's 31st Annual Conference which was conducted from February 10-15, 2023. He/She is awarded **10 CEUs**.

**DR. FERID ABBAS**  
Chair, CME Committee

**DR. ABDULFETAH ABDULKADIR**  
President of ESOG



## Certificate of Recognition

This is presented to

# AWOKE DERBIE

for being a distinguished presenter of 'Human papillomavirus genotype distribution in Ethiopia; an updated systematic review' at the 30th annual conference of the Ethiopian Society of Obstetricians and Gynecologists (ESOG) post-conference scientific session held from February 21-22, 2021



**Dr. Abdulfetah Abdulkadir**  
Chair, CME Committee, ESOG

## **Appendix**

### **Appendix A: Information Sheet**

(English Version)

**Name of Investigator:** Awoke Deribie (PhD candidate)

#### **Introduction**

Dear Madam, you are invited to participate in a research study conducted by a PhD candidate, from Addis Ababa University. Your participation is absolutely based on a voluntarily basis. The research team includes a principal investigator, data/specimen collectors including a senior gynecologists and pathologists and supervisors from Addis Ababa University. Please, kindly take as much time as you need to read/listen the information sheet.

#### **Aim of the Research Project**

We request your voluntary participation in our study because we are planning discover more about new cervical screening tool, the type of viruses involved in cervical lesions and the epidemiological issues linked to CIN2+ among women to devise a solution in the prevention of CC.

#### **Procedure**

If you are willing to participate in this project, you need to understand and give your consent. The required clinical sample (cervical swab and biopsy) will be collected by an experienced gynecologist working at FHCSH following the recommended approach. No anesthesia is required to collect cervical biopsy. We will also ask you some questions about yourself by using a set of questions.

#### **Anticipated risks and discomforts**

Although we do not anticipate major risks associated with your participation in this project, however as all types of clinical studies, there might be a minimal risk. During the collection of cervical biopsies there might be some level of pain and slight bleeding but this does not produce serious pain and other anticipated complications.

#### **Benefits to study participants and/or to the society**

Based on the diagnostic result of histology and NA analysis, you will be linked to the gynecology clinic for better management and at the same time, you are indirectly benefiting through the generation of this data for better management of patients like you attending this hospital and other hospitals in the country associated with cervical pathology.

#### **Payment for study participation**

We do not offer any kind of fee being you are part of this study.

## **Confidentiality issues**

All data generated from this study will be confidential and only be shared with your physician when necessary. Data collected about you will be utilized using codes, no name identified will be indicated for the public.

## **Study participation/withdrawal**

It is your right to be part of this particular study. You will not be forced to remain in the study: withdraw at any time is possible. You can also deny giving clinical samples.

## **Person to contact:**

If you have any question, you can contact any of the following at any time:

1. Awoke Deribie (PhD student): Cell: +251- 09 13 05 98 87 E-mail: [awoke.derbie@bdu.edu.et](mailto:awoke.derbie@bdu.edu.et)
2. Dr. Tamrat Abebe (Advisor): Cell: +251-911447227, E-mail: [tabebezeleke@gmail.com](mailto:tabebezeleke@gmail.com), AAU, CHS-DMIP.
3. Dr. Yimtubeznash Woldemanuel (Co-advisor): Cell: +251-911225832, Email: [yimtuwa@gmail.com](mailto:yimtuwa@gmail.com), AAU, CHS-DMIP
4. Institutional Review Board, CHS, AAU. Telephone: 0118961396; E-mail: [Chs.irb@aau.edu.et](mailto:Chs.irb@aau.edu.et)

## Appendix B: Consent Form

Participant ID \_\_\_\_\_

Name of the participant \_\_\_\_\_

I get told about the research, which is planned to be done on a new cervical screening tool, the type of viruses involved in cervical lesions and the epidemiological factors associated with CIN2+ amongst women in NW ETH. The aim & application of the research project were narrated to me. I am also informed that all information collected from me will be kept confidential. Moreover, I have also been well informed of my right to keep hold of information, decline to cooperate and drop out of the study if I want and none of my actions will have any bearing at all on my overall health care and hospital access.

It is therefore with full understanding of the situations that I agreed to give the written consent to the researcher to use the specimen taken from my cervix for investigation. I also agreed that the cervical brush, histological sample and the HPV viruses identified might be stored and investigated further on similar grounds. In addition I have had the opportunity to ask a question about the project and I have got the clarification to my satisfaction.

Moreover, I was also told that results will be reported timely to physician in charge for appropriate treatment and management of my case. Hence, I agree to participate on this study with full voluntarism.

I \_\_\_\_\_ the undersigned hereby give my consent for giving the requested information and specimen for the aforementioned study.

- Signature of the participant \_\_\_\_\_
- Witness (Illiterate) \_\_\_\_\_
- Physician/principal investigator/data collector \_\_\_\_\_

Date -----/-----/-----



ይህ ጥናት በፍቃደኝነት ላይ የተመሰረተ አንደመሆኑ መጠን በማንኛውም ወቅት በፈቃድም ከጥናቱ መውጣት ወይም ናሙና አለመስጠት ይችላሉ። ከጥናቱ ቢረታም ተለመደውን ሕግምና አገልግሎት በጤና ተቋሙ ዉስጥ በማንኛዬ ም ቹ ማግኘት መብትዎ የተጠበቀ ነው።

በመጨረሻ፤ ከጥናቱ ጋር በተያያዘ ማናቸውም ጥያቄ ወይም አስተያየት ቢኖርዎ በሚከተለዬ አድራሻ ዓጾቁ- ን ማቅረብ ይችላሉ፤

- 1. አወቀ ደርቤ: አድራሻ: አዲስ አበባ ዩኒቨርሲቲ፤ ስልክ ቁጥር 0913-059887/0963801526
- 2. ገ/ር ታምራት አበበ: አቴስ አባበ ኪቨርሲቲ፤ ሞባል ስልክ ቁጥር 0911-447227
- 3. ገ/ር ይምጡበዘናሽ ወለደአማኑኤል: አቴስ አባበ ኪቨርሲቲ፤ ሞባል ስልክ ቁጥር 0911-225832
- 4. አዲስ አበባ ዩኒቨርሲቲ ጤና ሳይንስ ኮሌጅ የምርምር ስነ-ምግባር መቆጣጠሪያ ክልል፤ ስልክ ቁጥር 0118961396? ኢሜል: [chs.irb@aau.edu.et](mailto:chs.irb@aau.edu.et)

**የስምምነት ቅጽ**

- ተሳታፊዎ ምስክር ቁጥር \_\_\_\_\_
- ተሳታፊዎ ሙሉ ስም \_\_\_\_\_

እኔ ስሜ ከህ በታች ተቀባይ በህ ዓናት ተሳታፊ ለመሆን ስወስን ባናቱ ለማንኛውም ደረጃ የማስወገድ ሙሉን በማረጋገጥ ነዉ።

እኔ \_\_\_\_\_ በህ ዓናት በፈቃደኝነት ተሳታፊ መሆኔን በኝርምር እያረጋገጥኩ ይህንን ስወስን በዓናቱ ሳቢያ ሊከሰቱ የሚችሉ ስቶችን በሚብላ ተረብሳና ከጥናቱ በማንኛውም ደረጃ ራሴን ለማግለል ብወስን ተገቢ የሆኑ ህክምናዎችና እቶች ች ሁሉ እንግልቦታዬን በማመን ነዉ። ከህ በተፊ ማሪ በመርምሩ ወቀት ከእኔ ተወሰኑ ፍሙና ምርምራ ወጤቶች ለሚከታተሉ ህክሞች እንደሚሰጡልኝ የተገለጸልኝ ሲሆን ናሙናዬ እንዲሁም ከሁ ተለቅ ሻሪሶች ለወደፊት መሰል ጥናት ቢቀመጡ አና ዓ ቀም ላባ ቢዩ ሉ ፈቃደኝነቴን እያሳወኩ እነህ ሁሉ መረጽ ች በምረቱ ቋንቋ በሚገባ የተገለጹልኝ መሆኑን በፊርማዬ አረጋግጣለሁ።

- ተሳታፊዎ ሙሉ ስም \_\_\_\_\_ ኝርምር \_\_\_\_\_
- መረጽ የሚሰበስቦ /ተመራማሪዬ ሙሉ ስም \_\_\_\_\_ ኝርምር \_\_\_\_\_
- ምስክር ሙሉ ስም \_\_\_\_\_ ኝርምር \_\_\_\_\_

## Appendix C: Demographic Data Collection Tool

(Questionnaire)

### I. Demographic characteristic

1. Participant ID/Card# \_\_\_\_\_
2. Age/in full years/ \_\_\_\_\_
3. Setting a. Urban b. Rural
4. Education a. unable to read & write b. < grade 8 c. Grade 8-12 d. College study
5. Occupation a. Housewife b. Private business/employ c. Gov't employs d. Other specify\_\_\_\_\_
6. Marital status a. Single b. Married c. Widowed d. Other, specify\_\_\_\_\_

### II. Reproductive/Gynecologic data/risk related items (some from chart review)

7. Body Mass Index, (BMI--Kg/M<sup>2</sup>) \_\_\_\_\_
8. Your age during the sex? \_\_\_\_\_
9. # of sex partner(s)? \_\_\_\_\_
10. Did you give birth? a. Yes b. No
11. If yes, how many children do you have? \_\_\_\_\_
12. Do you use hormonal contraceptives? a. Yes b. No
13. If yes, for how many years? \_\_\_\_\_
14. HIV Sero-status? a. Positive b. Negative c. Unknown
15. If HIV positive, current CD4 count and stage of AIDS \_\_\_\_\_, \_\_\_\_\_
16. Did you have previous history of sexually transmitted disease? a. Yes b. No c. Unknown
17. History of treatment for vaginal discharge? a. Yes b. No c. Unknown
18. Have you vaccinated for HPV? a. Yes b. No c. Unknown
19. Do you have sister or mother with history of CC? a. Yes b. No
20. Do you smoke tobacco a. Yes, (average smoke per day\_\_\_\_) b. No
21. Do your husband/any other family membrane living with, smokes? a. Yes b. No
22. Have you ever had any type of cancer? a. Yes b. No c. Unknown
23. Have you screened for CC before? A. Yes (how many times\_\_\_\_) b. No
24. Have you screened for CC in the last five years? a. Yes b. No
25. If yes for either, by which method? a. VIA b. Pap c. HPV based test d. other, specify\_\_\_\_\_
26. Current presumptive gynecologic diagnosis?  
\_\_\_\_\_
27. Gynecologic image finding (like, ultrasound) \_\_\_\_\_
28. VIA screening result \_\_\_\_\_
29. OnchoE6 test result a. Positive b. Negative c. Invalid
30. If OnchoE6 test is positive, type of HPV identified? a. HPV 16 b. HPV 18 c. Both
31. Type of treatment given? \_\_\_\_\_




28. የአልትራሳውንድ ወይም ሌላ የምስል ምርመራ ውጤት(ከቻርት)  
\_\_\_\_\_
29. አንኮ6 (OnchoE6) የምርመራ ውጤት? (ከቻርት) \_\_\_\_\_
30. አንኮ6 ፖዘቲቭ ከሆነ የተለየው የቫይረስ አይነት?(ከቻርት) \_\_\_\_\_
31. የተሰጠው የህክምና አይነት? (ከቻርት) \_\_\_\_\_

## **Appendix D: Project Administration and Staffing**

This PhD thesis was administered by department of DMIP,CHS,AAU. The funding issues was handled by CDT-Africa, AAU-CHS, and BRI, Bahir Dar University (BDU). Supervisors were assigned from the department and collaborators were invited from FHCSH, BDU, CMHS, and from Germany at the Leipzig University Hospital.

The faculty that were involved in this project includes; the principal investigator, supervisors, collaborators (gynecologist and pathologist), and questioner administrator (nurses).

**Appendix E: AAU, CHS, IRB-ethical clearance**



ADDIS ABABA UNIVERSITY, COLLEGE OF HEALTH SCIENCES (IRB)  
አዲስ አበባ ዩኒቨርሲቲ ጤና ሳይንስ ኮሌጅ  
Institutional Review Board

ANNEX 3  
Form AAUMF 03-008

IRB's Decision

Meeting No: 09/2019                                  Date: November 13, 2019  
Protocol number: 087/19/DMIP

|  |  |
|--|--|
| <b>Protocol Title:</b> Human papilloma virus E6/E7 Mrna test for the detection of high grade cervical intraepithelial neoplasia (CIN2+) among gynecology complains in northwest Ethiopia |  |
| Principal Investigator:  | Awoke Derbie   |
| Institute:   | College of Health Sciences, AAU  |
| Elements Reviewed (AAUMF 01-008)   | <input checked="" type="checkbox"/> Attached <input type="checkbox"/> Not attached   |
| Review of Revised Application<br><input type="checkbox"/> Yes <input type="checkbox"/> No  | Date of Previous review:   |
| Decision of the meeting:   | <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Approved with Recommendation<br><input type="checkbox"/> Resubmission <input type="checkbox"/> Disapproved |

I. Elements approved-

1. Protocol Version No: 2
2. Protocol Version Date:
3. Informed consent Version No. 2
4. Informed Consent Version Date:

II. Obligations of the PI-

1. Should comply with the standard international & national scientific and ethical guidelines
2. All amendments and changes made in protocol and consent form needs IRB approval
3. The PI should report SAE within 10 days of the event
4. End of the study, including manuscripts and thesis works should be reported to the IRB
5. The PI should report non-compliance and unanticipated events


III. TO NERC

Institution Review Board (IRB) Approval: Period from: January 23, 2020 to January 22, 2021  
Follow up report expected in  
3 Months \_\_\_      6 months  \_\_\_      9 months \_\_\_      one year \_\_\_


---

**Chairperson, IRB**  
**Dr. Adamu Addissie**

Signature \_\_\_\_\_  
Date: 23/01/2020



## Appendix F: National Ethical Clearance



**የኢትዮጵያ ፌዴራላዊ ዲሞክራሲያዊ ሪፐብሊክ**  
**ትምህርት ሚኒስቴር**

**FEDERAL DEMOCRATIC REPUBLIC OF ETHIOPIA**  
**MINISTRY OF EDUCATION**

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ቀን/DATE: 28/10/2021

ቁጥር/REF NO: 2/2-149/m259/36

✓ Addis Abeba University College of Health Science  
Addis Abeba


*Subject: Letter of Approval*

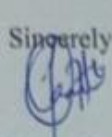
The Ministry Education (MoE) via its National Research Ethics Review Committee has reviewed **“Human papillomavirus E6/E7 mRNA test for the detection of high-grade cervical intraepithelial neoplasia (CIN2+) among women presenting with gynaecology complains in northwest Ethiopia”** Project protocol in an expedited manner. We are writing to advise you that MoE has granted full approval to the above named project, for a period of **one year (October 27, 2021, - October 26, 2022)**.

All your most recently submitted documents have been approved for use in this study. The study should comply with the international and national scientific and ethical standard guidelines. Any change to the approved protocol or consent material must be reviewed and approved through the amendment process prior to its implementation. In addition, any adverse or unanticipated events should be reported within 24-48 hours to MoE. Please ensure that you submit biannual progress report to MoE once in six months and annual renewal application 30 days prior to the expiry date.

We, therefore, request you as PI and your esteemed organization to ensure the commencement and conduct of the study accordingly and wish for the successful completion of the project.

Cc



Sincerely  
  
**Daniel Tadesse Wo**  
**(PhD)**  
**Research Ethics Direc**

- ✓ Office of the State Minister (Sector for Higher Education)
- ✓ Science and Research Affairs Directorate General
- ✓ Research Ethics Directorate



4. This research material represents a significant contribution on the part of provider and is considered proprietary to provider. Recipient therefore agrees to retain control over this research material and further agrees not to transfer the research material to other people not under her/his direct supervision without advance written approval of provider. The research material will be disposed of as agreed upon per protocol at the end of completion of the project.
5. The provider does not take any responsibility for loss, damage, wastage or spoilage of the research material during or after shipment to the address provided by the recipient under conditions agreed to in the protocol on shipment of the samples. This research material is provided as a service to the research community. IT IS BEING SUPPLIED TO RECIPIENT WITH NO WARRANTIES, EXPRESS OR IMPLIED, INCLUDING ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. Provider makes no representations that the use of the research material will not infringe any patent or proprietary right of third parties.
6. The recipient shall notify the provider in writing of any intention, improvement, modification discovery or development to the material or the information made by recipient or parties, collaborating with recipient, here in after referred to as "invention". Nothing in this agreement shall, however, be construed as conveying to the provider any rights under any patents or other intellectual property to such invention, and other than as explicitly provided herein. At its option the provider shall be entitled to receive sample of any materials derived from the Materials for its own research and evaluation purposes only.
7. The under- signed provider and recipient expressly certify and affirm that the contents of any statements made herein are truthful and accurate.
8. Any additional terms (use an attached page if necessary):
9. The provider maintains, ownership right of the research material and its unmodified derivatives unless stated otherwise.

The provider will retain a copy (aliquot) of every sample sent abroad as much as possible for local research needs.



